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EXPRESS MAIL CERTIFICATE

Date 7/28/03 Serial No. EV340067216-05

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TECHNOLOGY CENTER R3700

Customer No.: 07278

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Appl. No. : 09/537,372

Conf. No.: 3747

Applicant : Lloyd A. GROVEMAN, et al.

G.A.U.: ~~2768~~ 3628

Filed : March 24, 2000

Examiner: S. CHENCINSKI

For: ACTIVE ACCOUNT MANAGEMENT
USING VOLATILITY ARBITRAGE

Docket No.: 2902/0G377

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GROUP 3600

AMENDMENT

Mail Stop No-Fee Amendment
Commissioner for Patents
PO Box 1450
Alexandria, VA 22313-1450

July 28, 2003

Sir:

In response to the Official Action dated March 28, 2003, please amend the above-identified application as follows:

Amendments to the Specification begin on page 2 of this paper.

Amendments to the Claims are reflected in the listing of claims which begins on page 3 of this paper.

Remarks/Arguments begin on page 11 of this paper.